

CFTC 1.55 Section4 disclosure Summary

November 29, 2024

Activity/Product Line	Percentage Of Assets	Percentage Of Capital
Equities	7.58 %	0.66 %
FICC	39.65 %	3.64 %
Financing (Resales, Borrows)	41.42 %	1.41 %
Fixed and All Other Assets	7.10 %	9.99 %
Goodwill and Tangible Assets	0.03 %	0.53 %
Investments in Subsidiaries and Receivable from Affiliates	0.04 %	0.66 %
Other Inventory	0.31 %	0.00 %
Receivable from Broker-Dealers and Customers	3.87 %	1.96 %
Total	100.00 %	18.85 %