

CFTC 1.55 Section4 disclosure Summary

September 30, 2024

Activity/Product Line	Percentage Of Assets	Percentage Of Capital
Equities	4.45 %	0.59 %
FICC	41.51 %	3.97 %
Financing (Resales, Borrows)	42.27 %	1.25 %
Fixed and All Other Assets	7.17 %	9.93 %
Goodwill and Tangible Assets	0.03 %	0.53 %
Investments in Subsidiaries and Receivable from Affiliates	0.05 %	0.79 %
Other Inventory	0.21 %	0.19 %
Receivable from Broker-Dealers and Customers	4.32 %	1.86 %
Total	100.00 %	19.11 %